

# A century of adiabatic evolutions

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December 13, 2020

# Outline

- History
- A collection of results
- Spectral evolutions
- Pictures
- Why should you care?
- The smooth part of the evolution
- Controlling oscillations

# Quantum numbers and adiabatic invariants

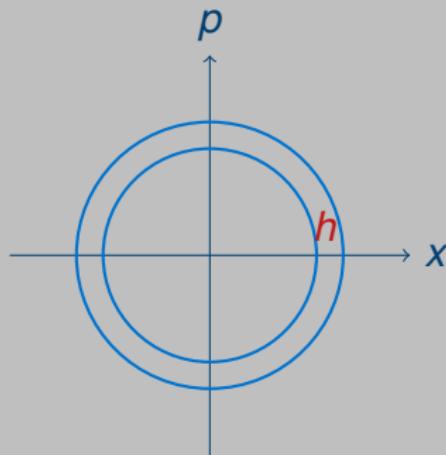
## Pre QM

- Bohr-Sommerfeld  $\oint p \, dq = nh$

- Harmonic Oscillator

- Adiabatic:  $\dot{\omega} \ll \omega^2$

- Invariant:  $\frac{E}{\omega} \approx \text{const}$



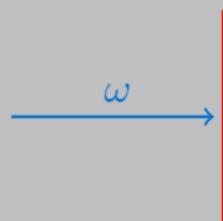
1880-1933

Ehrenfest: Adiabatic Invariants:  
Quantum numbers in “old QM”

# Photons: $N = E/\hbar\omega$

Einstein 1905

- Light beam hitting a detector
- $\frac{E}{\omega}$  Lorentz invariant
- No role in his photoelectric paper



$$\frac{E}{\omega} = \frac{E'}{\omega'}$$

914

*A. Einstein.*

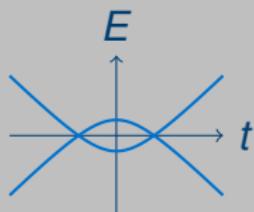
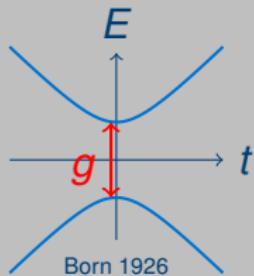
welche Formel für  $\varphi = 0$  in die einfachere übergeht:

$$\frac{E'}{E} = \sqrt{\frac{1 - \frac{v}{V}}{1 + \frac{v}{V}}}.$$

Es ist bemerkenswert, daß die Energie und die Frequenz eines Lichtkomplexes sich nach demselben Gesetze mit dem Bewegungszustande des Beobachters ändern.

# Adiabatic theorems with and without crossings

Matrices and the role of the gap



$\frac{1}{g}$ : internal time-scale

wrong folk wisdom:

Adiabaticity fails when  $g = 0$

## Beweis des Adiabatensatzes.

Von **M. Born** und **V. Fock** in Göttingen.

(Eingegangen am 1. August 1928.)

Der Adiabatensatz in der neuen Quantenmechanik wird für den Fall des Punktspektrums in mathematisch strenger Weise bewiesen, wobei er sich auch bei einer vorübergehenden Entartung des mechanischen Systems als gültig erweist.

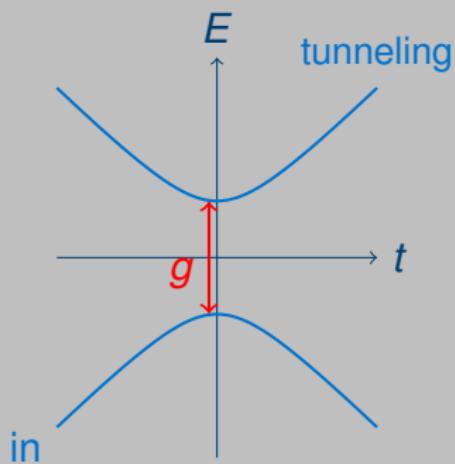
# Landau-Majorana-Zener-Stückelberg (1932)

Exact, Exponential tunneling

$$H(t) = \begin{pmatrix} \omega^2 t & g \\ g & -\omega^2 t \end{pmatrix}$$

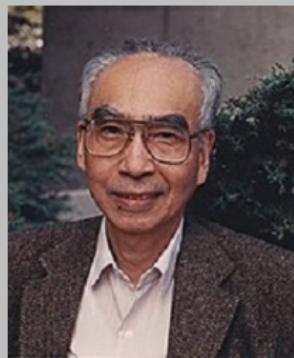
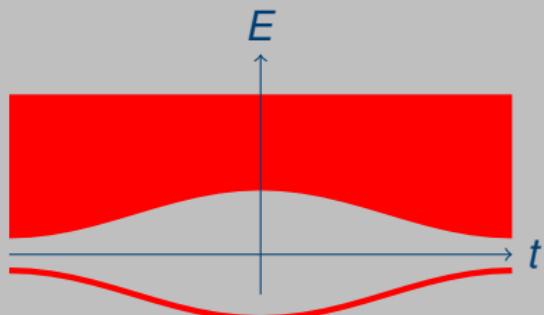
Tunneling( $t = \infty$ ) =  $e^{-2\pi g^2/\omega^2}$

- Exact
- Exponential
- Adiabaticity =  $\omega/g$
- Beyond perturbation in adiabaticity



# From matrices to operators

Kato 1950



1917-1999

## Motivation

- Degenerate ground state
- Tunneling to continuum

## Byproducts

- New techniques
- Geometric picture

# Spectral evolutions

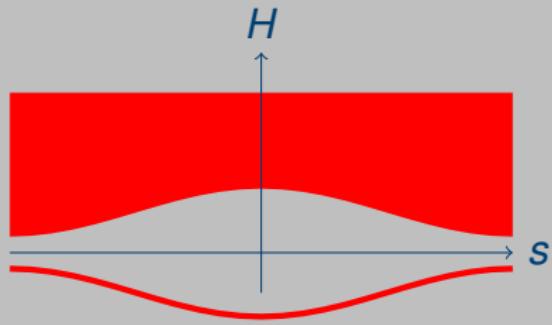
aka quasi-adiabaticity

- $P$ : Spectral projection
- $V$ : spectral evolution

$$V_s P_0 = P_s V_s$$

- Generator:

$$dK = i(dV)V^* = (dK)^*$$



$$V_s : P_0 \longrightarrow P_s$$

Commutator equation

$$[dK, P] = i dP$$

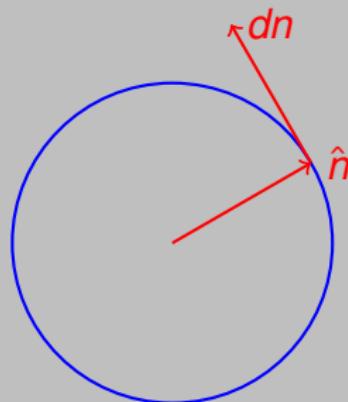
# A useful identity for projections

Kato's generator

- $P^2 = P$
- $P(dP) + (dP)P = dP$

Useful identity

$$P(dP)P = 0$$

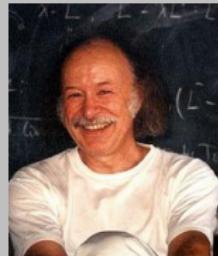
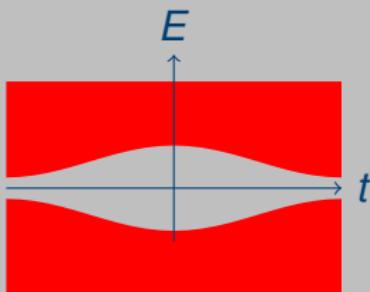


Kato's spectral generator

- $dK = i[dP, P]$  solves  $[dK, P] = idP$
- Mother of the "Adiabatic curvature"

# $dK$ : Non-uniqueness

Band spectra and shortcuts to adiabaticity



A. Seiler, Yaffe (1987)

$$\dot{K} = H + \underbrace{i[\dot{P}, P]}_{\text{kato}}$$

also solves  $[\dot{K}, P] = i\dot{P}$

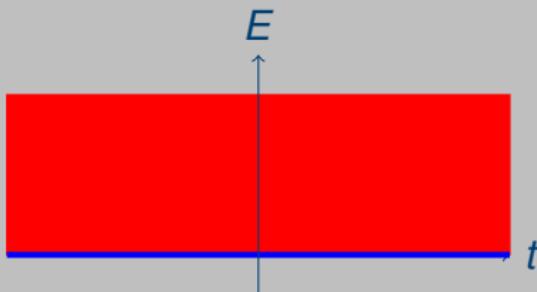


Berry (2009)

- Physical close to spectral

# Adiabatic theorem without a gap condition

Atom in radiation field



No effective error bound

- Non-commutative analog of

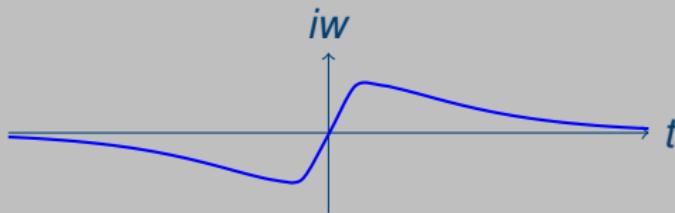
$$f \in L^1 \implies \tilde{f}(\infty) = 0$$

A. Elgart (1999)

# Adiabatic theorems for many-body

## Local spectral evolutions

- $dK$  local e.g.  
 $\left( \sum a_j^* a_k \right), |j - k| < \text{con}$
- $w$  has rapid decay



Matt Hastings (2015)

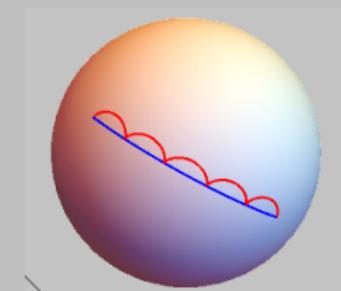
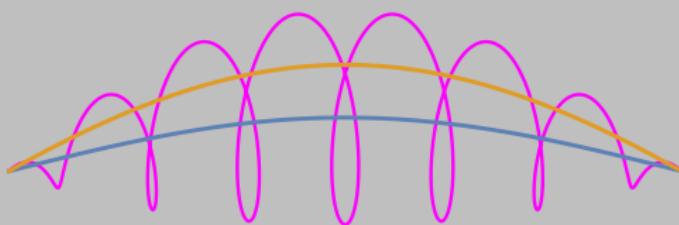
### Hastings spectral generator

$$dK = i \int dt w(t) e^{iHt} (dH) e^{-iHt}$$

- $dK$  inherits the localization of  $H$
- Strategy: Lieb-Robinson propagation estimates

# Orbits in projective space

- 2-level system lives on Bloch sphere
- Physical orbit
- Instantaneous orbit



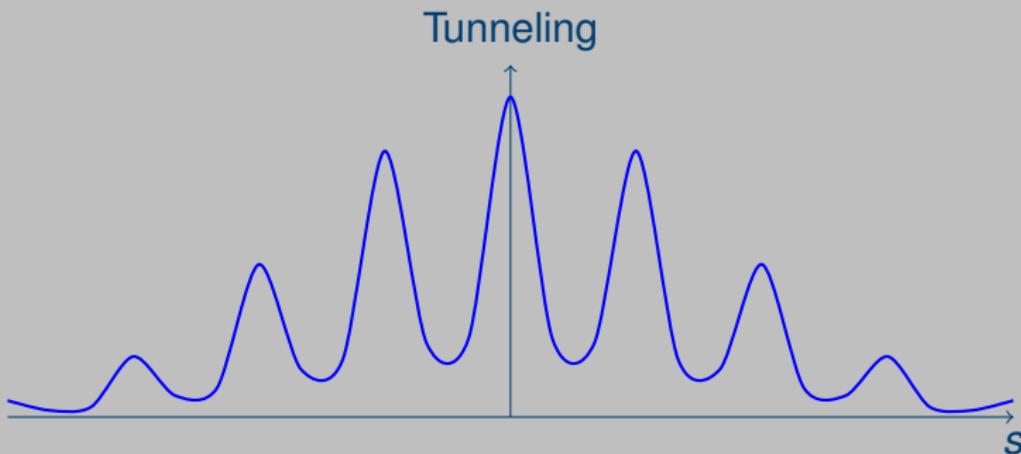
Physical  
Instantaneous  
Adiabatic

## Adiabatic limit

- # oscillations  $\rightarrow \infty$
- Wilder and tighter

# Tunneling: Distance of state from spectral projection

Wildly oscillatory



Tunneling reversible  
Fine tuning

# Fubini-Study speed: Driving time scale

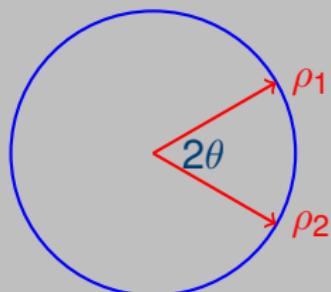
Gap: Internal time scale

- Pure state (=projection)  $\rho^2 = \rho$
- Fubini-Study metric

$$(d\theta)^2 = \frac{1}{2} \text{Tr}(d\rho)^2,$$

- Fubini-Study speed  $\dot{\theta}^2 = \frac{1}{2} \text{Tr}(\dot{\rho}^2)$
- If  $i d\rho = [H, \rho] dt$

$$\dot{\theta}^2 = \underbrace{\text{Tr}(H^2 \rho) - (\text{Tr}(\rho H))^2}_{\text{Uncertainty}}$$



## Time scales

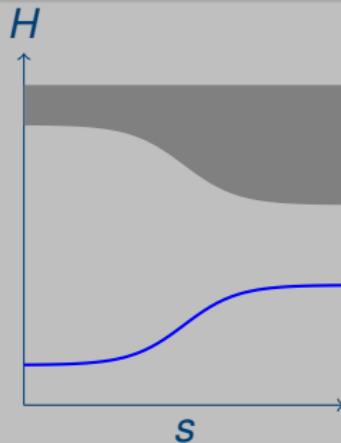
- Gap: internal
- $\dot{P}$ : external
- $\dot{E}$ : gauge freedom

# What makes the adiabatic limit interesting

Fast and slow time:  $t = \tau s$

$$i |d_t \psi\rangle = H(t/\tau) |\psi\rangle \iff i |d_s \psi\rangle = \tau H(s) |\psi\rangle$$

- Long time  $t \in [0, \tau]$
- $\delta H = O(1)$
- Singular limit



# Adiabatic expansion

Motions in kernel and co-kernel have different character

- Asymptotic:  $i|\dot{\Psi}\rangle = \tau H(s)|\Psi\rangle, \tau \gg 1$
- $|\Psi\rangle = \underbrace{\sum \tau^{-n} |\Psi_n\rangle}_{\text{smooth part}} + O(e^{-i\tau})$
- $|\Psi\rangle = \underbrace{P|\Psi\rangle}_{|\alpha\rangle} + \underbrace{(\mathbb{1} - P)|\Psi\rangle}_{|\beta\rangle}$

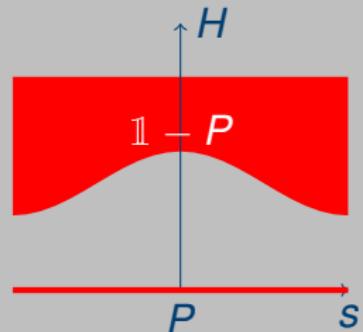
Recursion

Linear:  $\beta_n = M(\dot{\beta}_{n-1}, \dot{\alpha}_{n-1})$

ODE:  $\dot{\alpha}_n = F(\dot{\beta}_n, \alpha_n)$



G.M. Graf



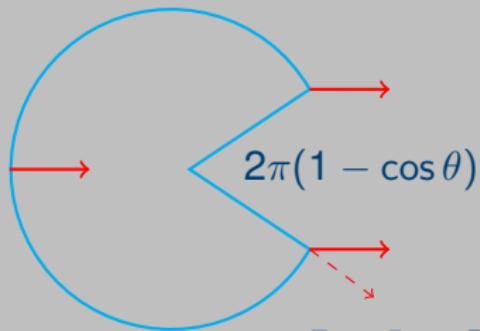
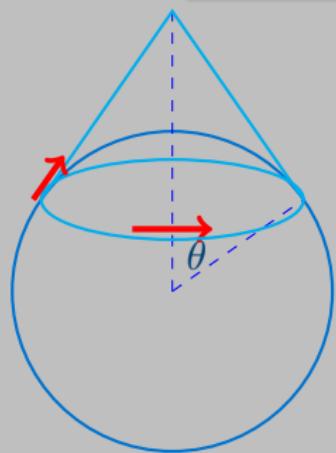
$n = 0$

Berry's phase=Holonomy of parallel transport

$n = 0$

CoKernel:  $|\beta_0\rangle = 0$

Kernel:  $\underbrace{Pd |\alpha_0\rangle = 0}_{\text{parallel transport}}$

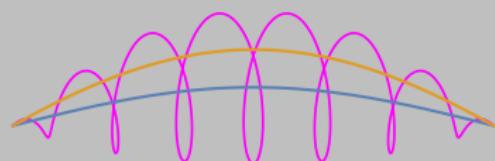


# Tunneling is reversible and memory-less

$n = 1$

- $|\beta_1\rangle = \frac{i}{\hbar} \dot{P} |\alpha_0\rangle$
- Tunneling probability

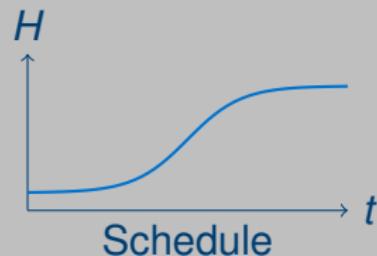
$$\approx \langle \beta_1 | \beta_1 \rangle = \langle \alpha_0 | \dot{P} \frac{1}{H^2} \dot{P} |\alpha_0\rangle \geq 0$$



Physical  
Instantaneous  
Adiabatic

Remarkably:

- $|\beta(0)\rangle$  not free initial data!
- Tunneling memory-less
- No jerk:  $\ddot{P}(T) = 0 \Rightarrow |\beta_1(T)\rangle = 0$



# Confronting the oscillations

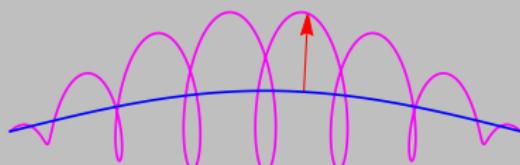
The adiabatic thm: Non-commutative integration by parts

- Physical evolution:

$$i\dot{U} = \tau H(s)U, \quad U(0) = \mathbb{1}$$

- Spectral evolution  $V$
- Comparison  $\Omega = V^*U$

$$\Omega - \mathbb{1} = - \int_0^s \underbrace{[\dot{P}, P]_{s'} \Omega}_{\text{Oscillatory}} ds', \quad X_{s'} = V^*XV$$



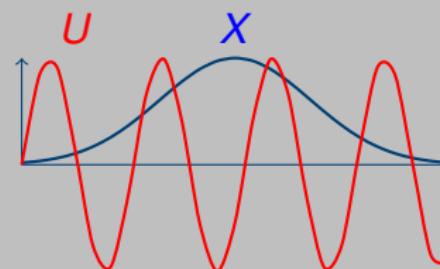
MP: When is  $\|\Omega - \mathbb{1}\| = O(\tau^{-n}) \forall n \geq 1$

CS: When is  $\|\Omega - \mathbb{1}\| < 1/3$  guaranteed?

# Self-averaging commutators

Hamilton equation

- Rapid oscillations:  $i\dot{U} = \tau HU$
- $X_s = U^* X U = O(1)$ ,  $(\dot{X}_s) = O(\tau)$



Hamilton equation

$$(\dot{X}_s) = i\tau [H, X]_s + (\dot{X})_s$$

Self-averaging commutators

$$\int ds [H, X]_s = O(1/\tau)$$

# The gap condition

Trade  $P$  for  $H$  in commutators

- $\Omega - \mathbb{1} = - \int [\dot{P}, P]_s \Omega \, ds$
- $[\dot{P}, P]_s \Omega = [X, H]_s \Omega$


$$R = \frac{1}{H - z}$$

$$X = \frac{1}{2\pi i} \oint dz R \dot{P} R = O\left(\frac{\dot{P}}{\text{gap}}\right)$$

Proof:

$$[X, H] = \frac{1}{2\pi i} \oint dz \left[ \frac{1}{H - z} \dot{P} \frac{1}{H - z}, H - z \right] = [\dot{P}, P]$$

# What controls the remainder

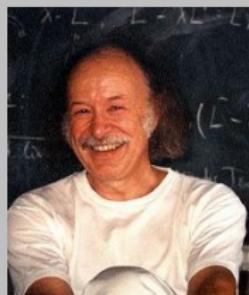
Jensen, Beth-Ruskay, Seiler; Reichradt

$$\|\Omega - \mathbb{1}\| = \frac{1}{\tau} \left( O(\dot{X}) + (\dot{P}X) \right), \quad X = \tilde{\dot{P}}$$

Where is the gap?

$$\dot{P} = O\left(\frac{\dot{H}}{g}\right), \quad \tilde{X} = O\left(\frac{X}{g}\right)$$

# Acknowledgment



Ruedi Seiler



Gian Michele Graf



Martin Fraas



Alex Elgart